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Fund submissions
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MESSAGE TO PARTIES

Submissions related to the fourth review of the Adaptation Fund

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its thirteenth session, requested the Subsidiary Body for Implementation to initiate the fourth review of the Adaptation Fund, in accordance with the terms of reference contained in the annex to decision 1/CMP.12, or as amended.¹

In this regard, the secretariat of the United Nations Framework Convention on Climate Change wishes to inform Parties and observer States to the Convention, the Kyoto Protocol and the Paris Agreement, that following the guidance from the Bureau on 25 February 2021 in advancing the intergovernmental process towards a successful COP 26 in November in Glasgow, the SBI Chair would like to invite Parties and admitted observer organizations to make submissions via the [submission portal](#) by 14 May 2021 on their views on the terms of reference of the 4th review of the Adaptation Fund, based on the terms of reference from the previous review as contained in the annex.

Views can be submitted [here](#). In the search bar please type “*voluntary submissions 2021*”.

As always, the secretariat counts on your continued support in these challenging times and assures you of our commitment to continue to provide you with high quality support.



Distribution: To Parties and observer States to the United Nations Framework Convention on Climate Change through their national focal points and diplomatic missions accredited to the Federal Republic of Germany.

¹ Decision 2/CMP.13, paragraph 10.



Annex

Terms of reference for the third review of the Adaptation Fund²

I. Objective

1. The objective of the third review of the Adaptation Fund is to ensure the effectiveness, sustainability and adequacy of the fund and its operations with a view to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) adopting a decision on the matter at CMP 13 (November 2017).

II. Scope

2. The scope of the review will cover the progress made to date and lessons learned in the operationalization and implementation of the fund and will focus on, inter alia:

(a) The provision of sustainable, predictable and adequate financial resources and the mobilization of financial resources to fund concrete adaptation projects and programmes that are country-driven and based on the needs, views and priorities of eligible developing country Parties;

(b) Lessons learned from:

- (i) The application of the access modalities of the Adaptation Fund, including its operational policies and guidelines, including its Streamlined Accreditation Process;
- (ii) The project approval procedures of the Adaptation Fund;
- (iii) The results and impacts of approved adaptation projects and programmes;
- (iv) The readiness programme for direct access to climate finance, including the component aimed at increasing South–South cooperation between accredited national implementing entities and those seeking accreditation;
- (v) The pilot programme for regional projects;

(c) Programming and project coherence and complementarity between the Adaptation Fund and other institutions funding adaptation projects and programmes, in particular institutions under the Convention and the operating entities of the Financial Mechanism and its specialized funds;

(d) The institutional arrangements for the Adaptation Fund, in particular the arrangements with the interim secretariat and the interim trustee.

² As contained in decision 1/CMP.12, Annex.



III. Sources of information

3. The review shall draw upon, inter alia, the following sources of information:

(a) Submissions from Parties to the Kyoto Protocol, observer organizations, other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund and implementing entities accredited by the Adaptation Fund Board on their experiences regarding the Adaptation Fund;

(b) The annual reports of the Global Environment Facility (GEF) to the Conference of the Parties (COP) on its activities as an operating entity of the Financial Mechanism, including the information on the Least Developed Countries Fund and the Special Climate Change Fund, and other relevant GEF policy, information and evaluation documents;

(c) The annual reports of the Green Climate Fund (GCF) to the COP on its activities as an operating entity of the Financial Mechanism and other relevant GCF policy and information documents;

(d) The report of the Adaptation Fund Board to the CMP, the Adaptation Fund annual performance report for the most recent fiscal year and the outcomes of the initial and second reviews of the Adaptation Fund;

(e) The outcomes and reports emanating from United Nations processes, relevant bilateral and multilateral funding institutions and other intergovernmental and non-governmental organizations dealing with climate change financing;

(f) The reports of the Standing Committee on Finance;

(g) The reports on the work programme on long-term finance;³

(h) The reports of the Least Developed Countries Expert Group, the Adaptation Committee and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;

(i) The technical paper and summary for policymakers arising from the technical examination process on adaptation in 2016;

(j) The report on the independent evaluation of the Adaptation Fund (stage 1).⁴

³ FCCC/CP/2012/3 and FCCC/CP/2013/7.

⁴ Available at:

https://www.adaptation-fund.org/wp-content/uploads/2015/09/AFB.EFC_17.3-Evaluation-of-the-Fund-stage-1.pdf.